Lecture 9

Pseudorandom Number Generators

Linear congruential method We choose four numbers:

• the modulus m

 $x_{n+1} = (ax_n + c) \bmod m$

multiplier a

• increment c

• seed x₀

We generate a sequence of numbers $x_1, x_2, \ldots, x_n, \ldots$ with

 $0 \le x_i < m$ by using the congruence Hash Functions $h(k) = k \mod m$, Shift Ciphers $p \in \mathbf{Z}_{26} = \{0, 1, ..., 25\}$ $h_0(k) = k \mod n$ $h_i(k) = (k+1) \bmod n$ $f(p)=(p+k) \bmod 26.$ $f^{-1}(p) = (p-k) \mod 26$

 $h_{m}(k) = (k+m) \bmod n$ enhance security $f(p) = (ap + b) \mod 26$.

How about the decryption? Suppose gcd(a, 26) = 1.

Suppose that $c = (ap + b) \mod 26$ with $\gcd(a, 26) = 1$. To decrypt, need to show how to express p in terms of c. That is, we solve the congruence for p:

 $c \equiv ap + b \pmod{26}$.

Subtract b from both sides, we have $ap \equiv c - b \pmod{26}$. Since gcd(a, 26) = 1, we know that there is an inverse \bar{a} of a modulo 26.

 $p \equiv \bar{a}(c-b) \pmod{26}$.

Private Key Cryptosystem

RAS Cryptosystem

- RSA as Public Key System
 - Only target recipient can decrypt the message



Pick two large primes p and q. Let n=pq. Encryption key (n,e) and decryption key (n,d) are selected such that RSA as a Public Key Sy

- $\gcd(e, (p-1)(q-1)) = 1$ • $ed \equiv 1 \pmod{(p-1)(q-1)}$
- Public key: (n, e) Private key: d
- ${\bf RSA \ encryption:} \ {\it C} = {\it M}^{\rm e} \ {\bf mod} \ {\it n}$
- RSA decryption: $M = C^d \mod n$
- Encrypt the message "STOP" with key (n=2537, e=13). Note that $2537=43\cdot 59,$ where p=43 and q=59 are primes, and

gcd(e, (p-1)(q-1)) = 1.

Solution: 1 Translate into integers: 18191415

- 2 Divide this into blocks of 4 digits (because 2525 < 2537 < 252525): 1819 1415
- 3 Encrypt each block using the mapping
- $C = M^{13} \mod 2537$

We have $1819^{13} \bmod 2537 = 2081$ and $1415^{13} \bmod 2537 = 2182$. The encrypted message is 2081 2182.

For each block, transform the ciphertext into plaintext message

 $M = C^d \mod n$

Example: What is the decrypted message of 0981 0461 with e=13, $\rho=43$, q=59?

Solution: Recall that $ed\equiv 1\pmod{(p-1)(q-1)}$. Thus, d=937 is an inverse of $13\pmod{4}$ $2\cdot 58=2436$.

For each block, transform it into plaintext message

 $M = C^{937} \mod 2537$.

Since $0981^{937} \text{ mod } 2537 = 0704 \text{ and } 0461^{937} \text{ mod } 2537 = 1115, \text{ the}$ plaintext message is 0704 1115, which is "HELP

RSA decryption: $M = C^d \mod n$. Why?

According to (1), the inverse d exists. According to (2), there exists an

de = 1 + k(p-1)(q-1).

It follows that $C^d \equiv (M^e)^d = M^{de} = M^{1+k(p-1)(q-1)} \pmod{n}$.

Assuming that $\gcd(M,p)=\gcd(M,q)=1$, we have $M^{p-1}\equiv 1\pmod p$ and $M^{q-1}\equiv 1\pmod q$. (see Theorem 3 in Section 4.3) The sum of the section 4.3 The section 4.4 The s

According to (1), the inverse d exists. According to (2), there exists an integer k such that integer k such that $de=1+k(\rho-1)(q-1).$ It follows that $C^d\equiv (M^e)^d=M^{de}=M^{1+k(\rho-1)(q-1)}(\bmod\ n).$ Assuming that $\gcd(M,p)=\gcd(M,q)=1$, we have $M^{p-1}\equiv 1\ (\mathbf{mod}\ p)$ and $M^{q-1}\equiv 1(\mathbf{mod}\ q)$.

 $C^d \equiv M \cdot (M^{p-1})^{k(q-1)} \equiv M \cdot 1 = M \pmod{p}$ $C^d \equiv M \cdot (M^{q-1})^{k(p-1)} \equiv M \cdot 1 = M \pmod{q}$.

Because gcd(p,q)=1, we have

This basically implies that

 $C^d \equiv M \pmod{pq}$



RSA as Digital Signature

 $S = M^d \mod n$ (RSA signature) $M = S^e \mod n$ (RSA verification)

Alice's RSA public key is (n, e) and her private key is d.

Alice can send her message to as many people as she wants and by signing it in this way, every recipient can be sure it came from Alice. it in this way, every recipient can be sure it ca Diffie-Hellman Key Exchange Protocol

Before introducing the protocol:

Definition: A primitive root modulo a prime p is an integer r in \mathbf{Z}_p such that every nonzero element of \mathbf{Z}_p is a power of r.

Example: Whether 2 is a primitive root modulo 11?

When we compute the powers of 2 in ${\bf Z}_{11}$, we obtain $2^1=2$, $2^2=4$, $2^3=8$, $2^4=5$, $2^5=10$, $2^6=9$, $2^7=7$, $2^8=3$, $2^9=6$, $2^{10}=1$. Because every element of ${\bf Z}_{11}$ is a power of 2, 2 is a primitive root of 11.

Suppose that Alice and Bob want to share a common key. Consider \mathbf{Z}_{ρ} (1) Alice and Bob agree to use a prime p and a primitive root a of p.

- (2) Alice chooses a secret integer k_1 and sends $a^{k_1} \mod p$ to Bob (3) Bob chooses a secret integer k_2 and sends $a^{k_2} \mod p$ to Alice
- (4) Alice computes $(a^{k_2})^{k_1}$ mod of (5) Bob computes $(a^{k_1})^{k_2} \mod p$.

Alice and Bob have computed their shared key:

$$(a^{k_2})^{k_1} \mod p = (a^{k_1})^{k_2} \mod p$$

- Public information: p, a, a^{k_1} \mathbf{mod} p, and a^{k_2} \mathbf{mod} p• Secret: k_1 , k_2 , $(a^{k_2})^{k_1}$ \mathbf{mod} $p = (a^{k_2})^{k_2}$ \mathbf{mod} pNote that it is very hard to determine k_1 with a, p, and a^{k_1} \mathbf{mod} p.

Lecture 10

The statement P(n) is true for all n = 0, 1, 2, ...

We prove this by

- (i) Assume that a counterexample exists, i.e., There is some n>0 for which P(n) is false.
- (ii) Let m > 0 be the smallest value for which P(n) is false
- (iii) Then, use the fact that P(m') is true for all $0 \le m' < m$ to show that P(m) is true, contradicting the choice of m. Contradiction!



The key step were

- P(0) is true such that the smallest counterexample exists
- proving that

$$P(n-1) \rightarrow P(n)$$

Recall that P(n) is the statement

$$0+1+2+3+...+n=\frac{(n+1)n}{2}$$
.

Let P(n) denote $2^{n+1} \ge n^2 + 2$. We just showed that

- (a) P(0) is true
- (b) If n > 0, then $P(n-1) \rightarrow P(n)$

What did we do?

- Suppose there is some n for which P(n) is false (*)
- Let n be the smallest counterexample
- From (a) n > 0, so P(n-1) is true
- From (b), using direct inference, P(n) is true
- This leads to contradiction.
- Thus, P(n) is true for all $n \in N$.

Principle. (Weak Principle of Mathematical Induction)

- (a) Basic Step: the statement P(b) is true
- (b) Inductive Step: the statement $P(n-1) \rightarrow P(n)$ is true for all n > bThus, P(n) is true for all integers $n \ge b$.

Example 1

For all $n \geq 0$, $2^{n+1} \geq n^2 + 2$

Let P(n) denote $2^{n+1} \ge n^2 + 2$.

- (i) Note that for n = 0, $2^{0+1} = 2 \ge 2 = 0^2 + 2$, which is P(0)
- (ii) Suppose that n > 0 and that $2^n \ge (n-1)^2 + 2$

$$2^{n+1} \geq 2(n-1)^2 + 4$$

$$= (n^2 + 2) + (n^2 - 4n + 4)$$

$$= n^2 + 2 + (n-2)^2$$

$$\geq n^2 + 2$$

Hence, we have just proven that for n > 0, $P(n-1) \rightarrow P(n)$

By mathematical induction, $\forall n \geq 0, 2^{n+1} \geq n^2 + 2$. Principle (Strong Principle of Mathematical Induction):

- (a) Basic Step: the statement P(b) is true
- (b) Inductive Step: for all n > b, the statement

$$P(b) \wedge P(b+1) \wedge ... \wedge P(n-1) \rightarrow P(n)$$
 is true.

Then, P(n) is true for all integers n > b

Recursion

Towers of Hanoi



Running Time: M(n) is number of disk moves needed for n disks

- M(1) = 1
- if n > 1, then M(n) = 2M(n-1) + 1 $M(n) = 2^n 1$. Recurrence

Theorem: If T(n) = rT(n-1) + a, T(0) = b, and $r \neq 1$, then

$$T(n) = r^n b + a \frac{1 - r^n}{1 - r}$$

Formula of Recurrences

- Basis step: We verify that T(0) holds:
- Inductive step: We show that the conditional statement "if T(n-1) holds, then T(n) holds" for all $n \ge 1$: Now assume that n > 0 and

$$T(n-1) = r^{n-1}b + a\frac{1-r^{n-1}}{1-r}.$$

Thus,

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$$\begin{split} T(n) &= rT(n-1) + a \\ &= r\left(r^{n-1}b + a\frac{1-r^{n-1}}{1-r}\right) + a \\ &= r^nb + \frac{ar-ar^n}{1-r} + a \\ &= r^nb + \frac{ar-ar^n+a-ar}{1-r} \\ &= r^nb + a\frac{1-r^n}{1-r}. \end{split}$$

T(n) = rT(n-1) + g(n)

First-Order Linear Recurrences A recurrence of the form T(n) = f(n)T(n-1) + g(n) is called a first-order linear recurrence

- First Order: because it only depends upon going back one step, i.e.,
- If it depends upon T(n-2), then it would be a second-order recurrence, e.g., T(n) = T(n-1) + 2T(n-2).
- Linear: because T(n-1) only appears to the first power
- Something like $T(n) = (T(n-1))^2 + 3$ would be a non-linear first-order recurrence relation. T(n) = f(n)T(n-1) + g(n)
- $r(rT(n-2)+g(n-1))+g(n) \quad T(n) = \begin{cases} rT(n-1)+g(n), & \text{if } n>0 \\ a, & \text{if } n=0 \end{cases}$
- $r^{2}T(n-2) + rg(n-1) + g(n)$ $r^{3}T(n-3) + r^{2}g(n-2) + rg(n-1) + g(n)$
- $= r^n T(0) + \sum_{i=1}^{n-1} r^i g(n-i)$

Solve $T(n) = 4T(n-1) + 2^n$ with T(0) = 6.

Solve
$$T(n) = 4T(n-1) + 2^n$$
 with $T(0) = 6$. Theorem. For any real number $x \neq 1$,
$$T(n) = 6 \cdot 4^n + \sum_{i=1}^n 4^{n-i} \cdot 2^i$$

$$= 6 \cdot 4^n + 4^n \sum_{i=1}^n 4^{-i} \cdot 2^i$$

$$= 6 \cdot 4^n + 4^n \sum_{i=1}^n (\frac{1}{2})^i$$
 Divide and conquer algorithms larating recurrences
$$= 6 \cdot 4^n + (1 - \frac{1}{2^n}) \cdot 4^n$$
 Three different behaviors

Growth Rates of Solutions to Recurrences

$$T(n) = \begin{cases} T(1), & \text{if } n = 1, \\ 2T(n/2) + n, & \text{if } n \geq 2. \end{cases}$$

$$T(n) = 2T(\frac{n}{2}) + n = 2(2T(\frac{n}{2}) + \frac{n}{2}) + n$$

$$= 4T(\frac{n}{2}) + 2n = 4(2T(\frac{n}{2}) + \frac{n}{2}) + 2n$$

$$= 8T(\frac{n}{2}) + 3n$$

$$T(n) = \begin{cases} 1, & \text{if } n = 1, \\ T(n/2) + 1, & \text{if } n \geq 2. \end{cases}$$

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$$T(n) = \begin{cases} 1, & \text{if } n = 1, \\ T(n/2) + 1, & \text{if }$$

End when $i = \log_2 n$ $=2^{i}T\left(\frac{a}{N}\right)+in$ $=2^{\log_2 n}T\left(\frac{n}{2^{\log_2 n}}\right)+\left(\log_2 n\right)n$ $T(n) = \begin{cases} 1, & \text{if } n = 1 \\ T(n/2) + n, & \text{if } n \ge 2 \end{cases}$

 $nT(1) + n \log_2 n$

- $T(n) = T(\frac{n}{2}) + n$ = $T(\frac{n}{2^2}) + \frac{n}{2} + n$
- $= T\left(\frac{\alpha}{32}\right) + \frac{\alpha}{32} + \frac{\alpha}{3} + n$
- $= T \left(\frac{n}{2^{2}}\right) + \frac{n}{2^{2}-1} + \cdots + \frac{n}{2^{2}} + \frac{n}{2} + n$
- $=T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{n}{2^{\log_2 n-1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n$

Theorem: Suppose that we have a recurrence of the form

$$T(n) = aT(n/2) + n,$$

where a is a positive integer and $\mathcal{T}(1)$ is nonnegative. Then we have the following big Θ bounds on the solution:

- If a < 2, then $T(n) = \Theta(n)$.
- If a = 2, then $T(n) = \Theta(n \log_2 n)$.
- If a > 2, then $T(n) = \Theta(n^{\log_2 a})$.

We will now prove the case with a>2. Assume that $n=2^i$.

$$T(n) = a^{i} T\left(\frac{n}{2^{i}}\right) + \left(\frac{a^{i-1}}{2^{i-1}} + \frac{a^{i-2}}{2^{i-2}} + \cdots + \frac{a}{2} + 1\right) n$$

$$T(n) = a^{\log_{2} n} T(1) + n \sum_{i=0}^{\log_{2} n-1} \left(\frac{a}{2}\right)^{i}$$

Work at "bottom" Iterated Work

Since a > 2, the geometric series is Θ of the largest term.

$$n\sum_{i=0}^{\log_2 n-1}\left(\tfrac{a}{2}\right)^i=n\frac{1-(a/2)^{\log_2 n}}{1-a/2}=n\Theta\left((a/2)^{\log_2 n-1}\right)$$
 n times the largest term in the geometric series is

 $n\left(\frac{a}{2}\right)^{\log_2 n - 1} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{2^{\log_2 n}} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{n} = \frac{2}{a} \cdot a^{\log_2 n}$

$$a^{\log_2 n} = (2^{\log_2 a})^{\log_2 n} = (2^{\log_2 n})^{\log_2 a} = n^{\log_2 a}$$

Theorem: Suppose that we have a recurrence of the form $T(n) = aT(n/b) + cn^d$

where a is a positive integer,
$$b \geq 1$$
, c , d are real numbers with c positive and d nonnegative, and $T(1)$ is nonnegative. Then we have the following big Θ bounds on the solution:

- If a < b^d, then T(n) = Θ(n^d)
- If $a = b^d$, then $T(n) = \Theta(n^d \log_2 n)$ • If $a > b^d$, then $T(n) = \Theta(n^{\log_b a})$

Lecture 11 Pigeonhole Principle Counting

The Pigeonhole Principle: If k is a positive integer and k+1 or more objects are placed into k boxes, then there is at least one box contains two or more of the objects.

Proof by Contradiction: Suppose that none of the k boxes contains one object. Then the total number of objects would be at most

k. This is a contradiction, because there are at least k + 1 objects. There are 5 bins and 12 objects. Then there must be a bin with at least 3

If N objects are placed into k bins, then there is at least one bin containing at least [N/k] objects **Proof:** Suppose that none of the boxes contains more than $\lceil N/k \rceil$ objects. Then, the total number of objects is at most

$$k\left(\left\lceil \frac{N}{k} \right\rceil - 1\right) < k\left(\left(\frac{N}{k} + 1\right) - 1\right) = N$$

This is a contradiction because there are a total of N objects. **Theorem**: Every sequence of n^2+1 distinct real numbers contains a subsequence of length n+1 that is either strictly increasing or strictly

decreasing.

- Suppose that a_1, a_2, \ldots, a_N is a sequence of real numbers:
- A subsequence of this sequence is a sequence of the form $a_i, a_2, ..., a_{lm}$, where $1 \le i_1 < i_2 < ... < i_m \le N$.
 A sequence is called strictly increasing if each term is larger than the one that precedes it.

Proof: Let $a_1, a_2, \ldots, a_{n^2+1}$ be a sequence of n^2+1 distinct real numbers. Associate (i_k, d_k) to the term a_k :

• iv: the length of the longest increasing subsequence starting at au • d_k : the length of the longest decreasing subsequence starting at a_k Suppose that there are no increasing or decreasing subsequences of length n+1. I.e., $i_k \le n$ and $d_k \le n$ for $k=1,2,...,n^2+1$.

By the product rule there are n^2 possible ordered pairs for (i_k, d_k) . By the pigeonhole principle, two of these $n^2 + 1$ ordered pairs are equal. $d_s = d_t$. That is, there exist terms a_s and a_t with s < t such that $i_s = i_t$ and **Proof:**There exist terms a_s and a_t with s < t such that $i_s = i_t$ and $d_s = d_t$. We will show that this is impossible.

The terms of the sequence are distinct, either $a_c < a_t$ or $a_c > a_t$:

- $a_s < a_t$. Since $i_s = i_t$, an increasing subsequence of length $i_t + 1$ car be built, i.e., a_s , a_t , ... (followed by an increasing subsequence of length i_t beginning at a_t)
- $a_s > a_t$, Since $d_s = d_t$, an decreasing sequence of length $d_t + 1$ can be built, i.e., a_s , a_t , ... $T(n) = r^n a + \sum_{i=1}^n r^{n-i} g(i)$ Permutations $P(n,r) = n(n-1)(n-2)\cdots(n-r+1)$

$$P(n,r)=\frac{n!}{(n-r)!}.$$

Inclusion-Exclusion Principle 容斥原理

Let E_1, E_2, \ldots, E_n be finite sets:

$$\begin{split} |E_1 \cup E_2 \cup \dots \cup E_n| &= \sum_{1 \leq i \leq n} |E_i| - \sum_{1 \leq i < j \leq n} |E_i \cap E_j| \\ &+ \sum_{1 \leq i < j < k \leq n} |E_i \cap E_j \cap E_k| - \dots + (-1)^{n+1} |E_1 \cap E_2 \cap \dots \cap E_n|. \end{split}$$

 $|\cup_{i=1}^{n} E_{i}| = \sum_{k=1}^{n} (-1)^{k+1} \sum_{1 \leq i_{1} < j_{2} < \dots < i_{k} \leq n} |E_{j_{1}} \cap E_{j_{2}} \cap \dots \cap E_{j_{k}}|$

Combinations $C(n, r) \binom{n}{r}$

 $\binom{n}{r} = C(n,r) = \frac{P(n,r)}{r!} = \frac{n!}{r!(n-r)!}$ P(n,r) = C(n,r)P(r,r)

The Binomial Theorem

 $= \sum_{j=0}^{25} {25 \choose j} (2x)^{25-j} (-3y)^j$

13! 12!

)²⁵? What is the coefficient of $x^{12}y^{13}$ in the expansion this expression equals $(2x + (-3y))^{25}$

 $\sum_{k=0}^{n} \binom{n}{k} = 2^{n} \cdot \sum_{k=0}^{n} 2^{k} \binom{n}{k} = 3^{n}$

Other Identities Involving Binomial Coefficients Let n and r be nonnegative integers with $r \leq n$.

$$\sum_{k=r+1}^{n+1} \binom{k-1}{r} = \sum_{j=r}^{n} \binom{j}{r} \quad \binom{n+1}{r+1} = \sum_{j=r}^{n} \binom{j}{r}$$
Labelling and Trinomial Coefficients
How many different ways to label n distinct objects?

• There are (") ways to choose the red items • There are then $\binom{n-k_1}{k_2}$ ways to choose the blue items from the remaining $n-k_1$.

> $\binom{n}{k_1}\binom{n-k_1}{k_2} = \frac{n!}{k_1!(n-k_1)!} \frac{(n-k_1)!}{(k_2)!(n-k_1-k_2)!}$ $= \frac{n!}{k_1!k_2!(n-k_1-k_2)!} = \frac{n!}{k_1!k_2!k_3!}$

This is called a trinomial coefficient and denote it as

 $\binom{n}{k_1 \ k_2 \ k_3} = \frac{n!}{k_1! \, k_2! \, k_3!},$ where $k_1 + k_2 + k_3 = n$.

What is the coefficient of $x^{k_1}y^{k_2}z^{k_3}$ in $(x+y+z)^n$

- Lecture 13 Solving Linear Recurrence Relations
- Linear Homogeneous Recurrence Relations

• Linear Nonhomogeneous Recurrence Relations

Definition: A linear homogeneous relation of degree
$$k$$
 with constant coefficients is a recurrence relation of the form

 $a_n = c_1 a_{n-1} + c_2 a_{n-2} + ... + c_k a_{n-k}$

- where c_1, c_2, \ldots, c_k are real numbers, and $c_k \neq 0$. • linear: it is a linear combination of previous terms
- homogeneous: all terms are multiples of a_i's • degree k: an is expressed by the previous k terms constant coefficients: coefficients are constants
- Example: • $P_n = 1.11 \cdot P_{n-1}$ linear homogeneous recurrence relation of degree 1
- $a_n = a_{n-1} + a_{n-2}^2$ NOT linear $H_n = 2H_{n-1} + 1$ NOT homogeneous

• $B_n = nB_{n-1}$ coefficients are not constants

Degree Two **Theorem:** Let c_1 and c_2 be real numbers. Suppose that $r^2 - c_1r - c_2 = 0$ has two distinct roots r_1 and r_2 .

• $f_n = f_{n-1} + f_{n-2}$ linear homogeneous recurrence relation of degree 2

Then the sequence $\{a_n\}$ is a solution of the recurrence relation $a_n = c_1 a_{n-1} + c_2 a_{n-2}$ if and only if $a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$ for n = 0, 1, 2, ...,

Proof: To show that both $\{a_n\}$ and $\{\alpha_1r_1^n + \alpha_2r_2^n\}$ are the solutions of the recurrence relation $a_n = c_1a_{n-1} + c_2a_{n-2}$ and satisfy the initial conditions.

• $\{\alpha_1r_1^n + \alpha_2r_2^n\}$ is a solution of the recurrence relation.

• For every recurrence relation $a_n=c_1a_{n-1}+c_2a_{n-2}$, there exist α_1 and α_2 that satisfy the initial conditions.

for all n > 0 and constants $\alpha_{i,i}$.

Solve Linear Recurrence Relations: • Solve r_1 and r_2 with $r^2 - c_1 r - c_2 = 0$. Solve α₁ and α₂ with the initial conditions.

Theorem: If the $r^2 - c_1r - c_2 = 0$ has only 1 root r_0 , then $a_n = (\alpha_1 + \alpha_2 n) r_0^n,$

for all $n \geq 0$ and two constants α_1 and α_2 . Degree k $a_n = (\alpha_{1:0} + \alpha_{1:1}n + \cdots + \alpha_{1:m_1-1}n^{m_1-1})r_1^n$ + $(\alpha_{2,0} + \alpha_{2,1}n + \cdots + \alpha_{2,m_2-1}n^{m_2-1})r_2^n$ $a_n = \sum_{i=1}^{t} \left(\sum_{i=0}^{m_i-1} \alpha_{i,j} n^i \right) r_i^{\epsilon}$ $+ \cdots + (\alpha_{t,0} + \alpha_{t,1}n + \cdots + \alpha_{t,m_t-1}n^{m_t-1})r_t^n$

be

Example (2x - 3y)

 $\sum_{k=0}^{n} (-1)^k \binom{n}{k} = 0 \quad \binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$

Linear Nonhomogeneous Recurrence Relations

Definition: A linear nonhomogeneous relation with constant coefficients may contain some terms F(n) that depend only on nDefinition: A linear nonho

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + ... + c_k a_{n-k} + F(n).$$

The recurrence relation $a_n=c_1a_{n-1}+c_2a_{n-2}+\ldots+c_ka_{n-k}$ is called the associated homogeneous recurrence relation.

Theorem: If $\{a_n^{(p)}\}$ is any particular solution to the linea nonhomogeneous relation with constant coefficients,

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + ... + c_k a_{n-k} + F(n),$$

Then all its solutions are of the form

$$a = a^{(p)} + a^{(h)}$$

where $\{a_n^{(h)}\}\$ is any solution to the associated homogeneous recurrence relation $a_n = c_1 a_{n-1} + c_2 a_{n-2} + ... + c_k a_{n-k}$.

To compute
$$a_n^{(h)}$$
:

The characteristic equation is

$$r^2 - 3r = 0.$$

The roots are $r_1 = 3$ and $r_2 = 0$. By So, assume that $a_n^{(h)} = \alpha 3^n$.

To compute
$$a_n^{(p)}$$
: Try $a_n^{(p)} = cn + d$. Thus,

$$cn + d = 3(c(n-1) + d) + 2n.$$

$$cn + d = 3(c(n-1) + d) + 2m$$

We get c = -1 and d = -3/2. Thus, $a_n^{(p)} = -n - 3/2$. Initial condition:

$$a_n = a_n^{(h)} + a_n^{(p)} = \alpha 3^n - n - 3/2.$$

Base on the initial condition $a_1=3$. We have $3=-1-3/2+3\alpha$, which implies $\alpha=11/6$. Thus, $a_n=-n-3/2+(11/6)3^n$.

For previous two examples, we made a guess that there are solutions of a particular form. This was not an accident.

Suppose that [a_n] satisfies the linear nonhomogeneous recurrence relation

where c_1, c_2, \dots, c_k are real numbers, and

 $F(n) = (b_t n^t + b_{t-1} n^{t-1} + \cdots + b_1 n + b_0)s^n$

$$(p_t n^t + p_{t-1} n^{t-1} + \cdots + p_1 n + p_0) s^n$$
.

When s is a root of this characteristic equation and its multiplicity is m, there is a particular of the form

 $n^{m}(p_{t}n^{t} + p_{t-1}n^{t-1} + \cdots + p_{1}n + p_{0})s^{n}$

Generating Function

$$G(x) = a_0 + a_1x + ... + a_kx^k + ... = \sum_{k=0}^{\infty} a_kx^k$$

For |x|<1, function G(x)=1/(1-x) is the generating function of the sequence 1, 1, 1, 1,

$$1/(1-x) = 1 + x + x^2 + \dots$$

For |ax| < 1, function G(x) = 1/(1 - ax) is the generating function of the sequence 1, a, a^2 , a^3 , . . . ,

$$1/\big(1-ax\big)=1+ax+a^2x^2+\dots$$

For $|x|<1,\ {\cal G}(x)=1/(1-x)^2$ is the generating function of the sequence 1, 2, 3, 4, 5, . . .

$$1/(1-x)^2 = 1 + 2x + 3x^2 + \dots$$

Operations of Generating Functions

Theorem: Let $f(x) = \sum_{k=0}^{\infty} a_k x^k$, and $g(x) = \sum_{k=0}^{\infty} b_k x^k$. Then, $f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$ $f(x)g(x) = \sum_{k=0}^{\infty} (\sum_{k=0}^{k} a_j b_{k-j}) x^k$

Example 1: To obtain the corresponding sequence of $G(x)=1/(1-x)^2$: Consider f(x)=1/(1-x) and g(x)=1/(1-x). Since the sequence of f(x) and g(x) corresponds to $1,\,1,\,1,\,\ldots$, we have

 $G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1)a^{k}x^{k}.$ $G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1)x^{k}$ Example 2: To obtain the corresponding sequence of $G(x) = 1/(1-ax)^2$ for |ax| < 1:

Consider f(x)=1/(1-ax) and g(x)=1/(1-ax). Since the sequence of f(x) and g(x) corresponds to 1, a, a^2, \ldots , we have

Example 1

 $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$ with $F(n) = n^2 2^n$ and $F(n) = (n^2 + 1)3^n$

To compute $a_n^{(h)}$: $a_n^{(h)}=(\alpha_1+\alpha_2n)3^n$.

To compute $a_n^{(p)}$ of $F(n) = n^2 2^n$:

Since s=2 is not a root of the characteristic equation, we have

$$a_n^{(p)} = (p_2n^2 + p_1n + p_0)2^n$$

Substituting $a_n^{(\rho)}$ into $a_n=6a_{n-1}-9a_{n-2}+F(n)$ to derive $\rho_2,\,\rho_1,\,$ and ρ_0 :

$$(\rho_2 n^2 + \rho_1 n + \rho_0) 2^n = 6(\rho_2 (n-1)^2 + \rho_1 (n-1) + \rho_0) 2^{n-1}$$

$$-9(\rho_2 (n-2)^2 + \rho_1 (n-2) + \rho_0) 2^{n-2} + n^2 2^n.$$

To compute $a_n^{(p)}$ of $F(n) = (n^2 + 1)3^n$:

Since s=3 is a root of the characteristic equation with multiplicity m=2, we have

$$a_n^{(p)} = \frac{n^2}{n^2}(p_2n^2 + p_1n + p_0)3^n.$$

Substituting $a_n^{(p)}$ into $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$ to derive p_2 , p_1 , and p_0 :

 $\frac{a_n}{a_n} = a_n^{(h)} + a_n^{(p)} = (\alpha_1 + \alpha_2 n)3^n + n^2(p_2 n^2 + p_1 n + p_0)3^n.$

Example 2: The Term n^m

 $a_n = 5a_{n-1} - 6a_{n-2} + \frac{2}{2}n$

Solution:

- $a_n^{(h)} = \alpha_1 \cdot 3^n + \alpha_2 \cdot 2^n$
- $a_n^{(p)}$ should be in the form of np_02^n .
- Try $a_n^{(p)} = p_0 \cdot 2^n$:

$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2} + 2^n.$$

Since s = 2 is a root of the characteristic equation,

$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2}$$

always holds. Thus, we obtain
$$0 = 4$$
.

$$(1+x)^n = \sum_{k=0}^n C(n,k)x^k$$

$$(1+ax)^n = \sum_{k=0}^n C(n,k)a^k x^k$$

$$(1+x^r)^n = \sum_{k=0}^n C(n,k) x^{rk}$$

$$\frac{\frac{1-x^{n+1}}{1-x}}{\frac{1}{1-x}} = \sum_{k=0}^{n} x^k = 1 + x + x^2 + \dots + x^n$$

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \dots$$

$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \cdots$$
$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} a^k x^k = 1 + ax + a^2 x^2 + \cdots$$

$$\frac{1}{1-ax} - \sum_{k=0}^{\infty} a^{rk} = 1 + ax + a^{r}x + \cdots$$

$$\frac{1}{1-x^{r}} = \sum_{k=0}^{\infty} x^{rk} = 1 + x^{r} + x^{2r} + \cdots$$

$$\frac{1}{1-x^r} = \sum_{k=0}^{\infty} x^{rk} = 1 + x^r + x^{2r} + \cdots$$

$$\frac{1}{(1-x)^2} = \sum_{k=0}^{\infty} (k+1)x^k = 1 + 2x + 3x^2 + \cdots$$

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} C(n+k-1,k) x^k$$

$$\frac{1}{(1+x)^n} = \sum_{k=0}^{\infty} C(n+k-1,k)(-1)^k x^k$$

$$\frac{1}{(1-ax)^n} = \sum_{k=0}^{\infty} C(n+k-1,k) a^k x^k$$

$$e^{x} = \sum_{k=0}^{\infty} \frac{x^{k}}{k!} = 1 + x + \frac{x^{2}}{2!} + \frac{x^{3}}{3!} + \cdots$$

$$\ln(1+x) = \sum_{k=0}^{\infty} \frac{(-1)^{k+1}x^k}{k} = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \cdots$$

Generating Function Example 1

Solve the recurrence relation $a_k=3a_{k-1}$ for k=1,2,3,... and initial condition $a_0 = 2$.

Let G(x) be the generating function for the sequence $\{a_k\}$, that is, $G(x) = \sum_{k=0}^{\infty} a_k x^k$. We aim to first derive the formulation of G(x)

$$G(x) - 3xG(x) = \sum_{k=0}^{\infty} a_k x^k - 3 \sum_{k=1}^{\infty} a_{k-1} x^k$$

$$= a_0 + \sum_{k=1}^{\infty} (a_k - 3a_{k-1}) x^k$$

$$G(x) = \frac{2}{(1 - 3x)}$$

 $\begin{array}{ll} &=2,\\ a_n=8a_{n-1}+10^{n-1},\\ a_1=9, & \text{Solution: We extend this sequence by setting } a_0=1. \text{ We have }\\ a_1=8a_0+10^0=8+1=9. \text{ Let } G(x)=\sum_{n=0}^\infty a_n x^n. \end{array}$

$$G(x) - 1 = \sum_{n=1}^{\infty} a_n x^n = \sum_{n=1}^{\infty} (8a_{n-1}x^n + 10^{n-1}x^n)$$

$$= 8 \sum_{n=1}^{\infty} a_{n-1}x^n + \sum_{n=1}^{\infty} 10^{n-1}x^n$$

$$= 8x \sum_{n=1}^{\infty} a_{n-1}x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1}x^{n-1}$$

$$= 8x \sum_{n=0}^{\infty} a_n x^n + x \sum_{n=0}^{\infty} 10^n x^n$$

$$= 8x G(x) + x/(1 - 10x),$$

$$G(x) = \frac{1 - 9x}{(1 - 8x)(1 - 10x)} = G(x) = \frac{1}{2} \left(\frac{1}{1 - 8x} + \frac{1}{1 - 10x} \right)$$
$$G(x) = \frac{1}{2} \left(\sum_{n=1}^{\infty} 8^{n} x^{n} + \sum_{n=1}^{\infty} 10^{n} x^{n} \right) = \sum_{n=1}^{\infty} \frac{1}{2} (8^{n} + 10^{n}) x^{n}.$$

Cartesian Product Let $A=\{a_1,a_2,...,a_m\}$ and $B=\{b_1,b_2,...,b_n\}$, the Cartesian product $A\times B$ is the set of pairs

$$\{(a_1,b_1),(a_2,b_2),...,(a_1,b_n),...,(a_m,b_n)\}.$$

Cartesian product defines a set of all ordered arrangements of elements in

A subset R of the Cartesian product $A \times B$ is called a relation from the set

Definition: Let A and B be two sets. A binary relation from A to B is a

subset of a Cartesian product $A \times B$.

Let $R \subseteq A \times B$ denote R is a set of ordered pairs of the form (a,b) where

We use the notation aRb to denote $(a,b) \in R$, and a Rb to denote

Example: Let $A = \{a, b, c\}$ and $B = \{1, 2, 3\}$

• Is $R = \{(a,1), (b,2), (c,2)\}$ a relation from A to B?

• Is $Q = \{(1, a), (2, b)\}$ a relation from A to B?

• Is $P = \{(a, a), (b, c), (b, a)\}$ a relation from A to A?

Example: Let $A = \{0, 1, 2\}$ and $B = \{u, v\}$, and $R = \{(0, u), (0, v), (1, v), (2, u)\}$. $(R \subseteq A \times B)$ $R_{div} = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,4), (3,3), (4,4)\}$

1.	R	1	2	3	4	
2.	1	×	×	×	×	
-///	2		×		×	
3.	3			×		
4	4				×	

Number of Binary Relations

Theorem: The number of binary relations on a set A, where |A| = n, is 2^{n^2}

Proof: If |A| = n, then the cardinality of the Cartesian product $|A \times A| = n^2$.

R is a binary relation on A if $R \subseteq A \times A$ (R is subset).

The number of subsets of a set with k elements is 2^k .

Reflexive Relation Reflexive Relation: A relation R on a set A is called reflexive if $(a, a) \in R$ for every element $a \in A$.

		1	1	1	1
MR_{div}	=	0	1	0	1
		0	0	1	0
ion		0	0	0	1

Irreflexive Relation

Irreflexive Relation: A relation R on a set A is called irreflexive if $(a,a) \notin R$ for every element $a \in A$.

Symmetric Relation

MR =

Symmetric Relation: A relation R on a set A is called symmetric if $(b,a) \in R$ whenever $(a,b) \in R$ for all $a,b \in A$.

Antisymmetric Relation

Antisymmetric Relation: A relation R on a set A is called if $(b, a) \in R$ and $(a, b) \in R$ implies a = b for all $a, b \in A$.

$$MR = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Transitive Relation

Transitive Relation: A relation R on a set A is called transitive if $(a,b) \in R$ and $(b,c) \in R$ implies $(a,c) \in R$ for all $a,b,c \in A$

Combining Relations **Example:** $R_1 = \{(x,y)|x < y\}$ and $R_2 = \{(x,y)|x > y\}$. What are $R_1 \cup R_2$, $R_1 \cap R_2$, $R_1 - R_2$, $R_2 - R_1$, and $R_1 \oplus R_2$? Composite of Relations $R_1 \cup R_2 = \{(x,y)|x \neq y\}$

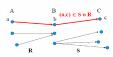
Composite of Relations
$$R_1 \cup R_2 = \{(x, y) | x \neq y \}$$

 $0 \quad 1 \quad 1 \quad R_1 \cap R_2 = \emptyset$

$$\mathbf{M}_{\mathbb{R}} = \begin{pmatrix} 0 & 1 & 1 & R_1 \cap R_2 = \emptyset \\ 1 & 0 & 0 & R_1 - R_2 = R_1 \\ 1 & 1 & R_2 - R_1 = R_2 \end{pmatrix}$$

$$\mathbf{M_{R}} \odot \mathbf{M_{S}} = \begin{matrix} 1 & R_{2} - R_{1} = R_{2} \\ 1 & 0 & R_{1} \oplus R_{2} = \{(x,y) | x \neq y\} \end{matrix}$$
 Example: Let $A = \{1,2,3\}, \ B = \{0,1,2\}, \ \text{and} \ C = \{a,b\}$:

- $R = \{(1,0), (1,2), (3,1), (3,2)\}$ 1
- $S = \{(0,b), (1,a), (2,b)\}$
- $S \circ R = \{(1,b),(3,a),(3,b)\}$



Power of a Relation Definition: Let R be a relation on A. The powers R^n , for n = 1, 2, 3, ..., is defined inductively by $R^1 = R$ and $R^{n+1} = R^n \circ R$

Example: Let $A = \{1, 2, 3, 4\}$, and $R = \{(1, 2), (2, 3), (2, 4), (3, 3)\}$

- R¹ = R
- $R^2 = R \circ R = \{(1,3), (1,4), (2,3), (3,3)\}$
- $\bullet \ R^3 = R^2 \circ R = \{(1,3), (2,3), (3,3)\}$
- $R^4 = R^3 \circ R = \{(1,3),(2,3),(3,3)\}$ • $R^k = ?$ for k > 3

Theorem: The relation R on a set A is transitive if and only if $R^n \subseteq R$ for n = 1, 2, 3, ...

Theorem: The number of binary relations on a set A, where |A| = n, is

Number of Reflexive Relations

Theorem: The number of reflexive relations on a set A with |A| = n is

Proof: A reflexive relation R on A must contain all pairs (a, a) for every

All other pairs in R are of the form (a, b) with $a \neq b$, s.t. $a, b \in A$. How many of these pairs are there?

How many subsets on n(n-1) elements are there?

Reflexive Relation: A relation R on a set A is called reflexive if $(a, a) \in R$ for every element $a \in A$.

Irreflexive Relation: A relation R on a set A is called irreflexive if mmetric Relation: A relation R on a set A is called symmetric if

 $(b,a) \in R$ whenever $(a,b) \in R$ for all $a,b \in A$ Antisymmetric Relation: A relation R on a set A is called antisymmetric if $(b,a) \in R$ and $(a,b) \in R$ implies a=b for all Is R_{\neq} transitive? No. $(1,2),(2,1) \in R_{\neq}$ but $(1,1) \notin R_{\neq}$. ation: A relation R on a set A is called transitive if Transitive Relation

$(a,b) \in R$ and $(b,c) \in R$ implies $(a,c) \in R$ for all $a,b,c \in A$. Lecture 15

n-ary Relations **Definition**: An *n*-ary relation R on sets $A_1,...,A_n$, written as $R:A_1,...,A_n$, is a subset $R\subseteq A_1\times\cdots\times A_n$.

- The sets $A_1, ..., A_n$ are called the domains of R.
- The degree of R is n. ullet R is functional in domain A_i if it contains at most one n-tuple (\cdots, a_i, \cdots) for any value a_i within domain A_i .

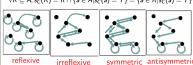
Relational Databases A relational database is essentially an n-ary relation R. A domain A_i is a primary key for the database if the relation R is functional in A_i . Student name student ID

,						
Student_name	ID_number	Major	GPA			
Ackermann	231455	Computer Science	3.88			
Adams	888323	Physics	3.45			
Chou	102147	Computer Science	3.49			
Goodfriend	453876	Mathematics	3.45			
Rao	678543	Mathematics	3.90			
Stevens	786576	Psychology	2 99			

Stevens 786576 Psychology 2.99
the domain of major fields of study and the domain of GPAs
a composite key for the *n*-ary relation, assuming that no *n*-tuples are ever

Selection Operator Let A be any n-any domain $A = A_1 \times \cdots \times A_n$, and let $C: A \to \{T, F\}$ be any condition (predicate) on elements $\{n$ -tuples) of A. The selection operator s_C is the operator that maps any $\{n$ -any) relation R on A to the n-any relation of all n-tuples from R that satisfy C.

 $\forall R \subseteq A, s_C(R) = R \cap \{a \in A | s_C(a) = T\} = \{a \in R | s_C(a) = T\}$



*47. How many relations are there on a set with n elements

a) symmetric?c) asymmetric? e) reflexive and symmetric?

f) neither reflexive nor irreflexive?

b) antisymmetric?

a)
$$2^{n(n+1)/2}$$
 b) $2^{n}3^{n(n-1)/2}$ c) $3^{n(n-1)/2}$ d) $2^{n(n-1)}$ e) $2^{n(n-1)/2}$ f) $2^{n^2} - 2 \cdot 2^{n(n-1)}$

ement can be related to itself and every pair (a,b) where a
eq b, can be included in the relation. Since (a, b) and (b, a) are considered the same in a symmetric relation, we only need to consider each pair once. Therefore, there are n(n-1)/2 unique we only need to consider each pair once. Inerestrer, there are n(n-1)/2 unique pairs where $a \neq b$, plus the n possible pairs where a = b, giving us a total of n(n+1)/2 pairs. Each pair can either be in the relation or not, which gives us two choices (yes or no) for each pair. Thus, the total number of symmetric relations is 2^n

b) Antisymmetric Relations: A relation is antisymmetric if for every (a,b) in R, (b,a) is not in R unless a=b. For each of the n(n-1)/2 pairs where $a\neq b$, you can choose to include either (a,b), (b,a), or neither, resulting in $3^{n(n-1)/2}$ possibilities. netric if for every (a,b) in R,(b,a)

c) Asymmetric Relations: A relation is asymmetric if for every (a, b) in $R_r(b, a)$ is not in B. This is a stricter form of antisymmetry because it applies even when $a \equiv b$. The number of asymmetric relations is the same as the number of antisyminus the number of diagonal elements, which is $3^{n(n-1)/2}$

d) Irreflexive Relations: A relation is irreflexive if no element is related to itself, meaning (a,a) is not in R for all elements a. For each of the n pairs (a,a), there is only one option: not to include it. For the remaining n(n-1) pairs, each can either be included or not, resulting in $2^{n(n-1)}$ possibilities.

e) Reflexive and Symmetric Relations: A relation is both reflexive and symmetric every element is related to itself, and for every (a,b) in R,(b,a) is also in R. Since all n reflexive pairs (a,a) must be included, there are $2^{n(n-1)/2}$ ways to include the remaining pairs.

- 2^{n} represents the total number of relations on a set with n elements without any restrictions. Since a relation on a set can be represented as a matrix with $n \times n$ entires (where each entry can either be 0 or 1 representing the absence or presence a relation), there are n^2 entries, and each entry has 2 options. Hence, $2^n \times n$ = 2. $2^{n(n-1)}$ represents the number of irreflexive relations on a set with n elements. An
- irreflexive relation is one where no element is related to itself, hence the diagonal of the relation scale where no earliests is traced to rise, there are diagonal of the relation matrix (which has n entries) must be all zeros. The remaining n(n-1) entries (off-diagonal) can be either 0 or 1, leading to $2^{n(n-1)}$ irreflexive relations. $3 \cdot 2 \cdot 2^{n(n-1)}$ is subtracted from the total number of relations to exclude both the

$$\begin{split} A(x) &= (1+x)^{m+n} = \sum_{r=0}^{m+n} a_r x^r = \sum_{r=0}^{m+n} C(m+n,r) x^r, \\ B(x) &= (1+x)^n = \sum_{r=0}^{n} b_r x^r = \sum_{r=0}^{n} C(n,r) x^r, \\ C(x) &= (1+x)^m = \sum_{r=0}^{m} c_r x^r = \sum_{r=0}^{m} C(m,r) x^r. \end{split}$$

$$A(x) = B(x)C(x) = \sum_{r=0}^{m+n} \left(\sum_{k=0}^{r} b_k c_{r-k} \right) x^r = \sum_{r=0}^{m+n} \sum_{k=0}^{r} C(m, r-k)C(n, k) x^r.$$
Therefore, we must have $C(m+n, r) = \sum_{k=0}^{r} C(m, r-k)C(n, k)$ for all $r = 0, 1, ..., m+n$.

Polynomial Multiplication

$$\begin{split} A(x) &= 1 + x + 2x^2 \\ B(x) &= 2 + x - x^2 \\ C(x) &= A(x)B(x) = (1 + x + 2x^2)(2 + x - x^2) = 2 + 3x + 4x^2 + x^3 - 2x^4 \end{split}$$

C(x) = A(x)B(x) $c_k = \sum_{i=1}^{n} a_p b_{k-p}$ $= \begin{pmatrix} \sum_{p=0}^{n-1} a_p x^p \end{pmatrix} \begin{pmatrix} \sum_{q=0}^{m-1} b_q x^q \end{pmatrix} \\ \sum_{p=0}^{n+m-2} \left(\sum_{p=0}^{n} a_p b_{k-p} \right) x^k \\ = \sum_{k=0}^{n+m-2} \left(\sum_{p=0}^{n} a_p b_{k-p} \right) x^k \end{pmatrix}$

 $[P] = \begin{cases} 1 & P \text{ is true} \\ 0 & P \text{ is false} \end{cases}$

